

UNIVERSITY OF ALASKA

(A Component Unit of the State of Alaska)

Audit in Accordance with OMB A-133

Year Ended June 30, 2009

UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Audit in Accordance with OMB A-133
June 30, 2009

Table of Contents

	PAGE
Independent Auditors Report on Compliance with Requirements Applicable to Each Major Program, Internal Control over Compliance and Supplementary Schedule of Expenditures of Federal Awards in Accordance with OMB Circular A-133	1
Schedule of Expenditures of Federal Awards	5
Notes to the Schedule of Expenditures of Federal Awards	22
Schedule of Findings and Questioned Costs	25
Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with <i>Government Auditing Standards</i>	27



KPMG LLP
Suite 600
701 West Eighth Avenue
Anchorage, AK 99501

**Independent Auditors' Report on Compliance with Requirements Applicable
to Each Major Program, Internal Control over Compliance and Supplementary
Schedule of Expenditures of Federal Awards in Accordance with OMB Circular A-133**

The Board of Regents
The University of Alaska:

Compliance

We have audited the compliance of the University of Alaska with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2009. The University of Alaska's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the University of Alaska's management. Our responsibility is to express an opinion on the University of Alaska's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University of Alaska's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the University of Alaska's compliance with those requirements.

In our opinion, the University of Alaska complied, in all material respects, with the requirements referred to above that are applicable to its major federal programs for the year ended June 30, 2009.

Internal Control over Compliance

The management of the University of Alaska is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University of Alaska's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University of Alaska's internal control over compliance.

This page intentionally left blank.



A control deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity's internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in the entity's internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as described above.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University of Alaska and its discretely presented component unit as of and for the year ended June 30, 2009, and have issued our report thereon dated November 4, 2009. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

* * * * *

This report is intended for the information of the Board of Regents, management, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

December 18, 2009

This page intentionally left blank.

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
STUDENT FINANCIAL AID			
Department of Education			
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		\$ 17,500
Office of Student Financial Assistance Programs			
84.007	Federal Supplemental Educational Opportunity Grants		643,246
84.032	Federal Family Education Loans		53,882,560
84.033	Federal Work - Study Program		526,871
84.063	Federal Pell Grant Program		11,184,180
84.268	Federal Direct Student Loans		46,500
Office of Postsecondary Education			
84.375	Academic Competitiveness Grants		72,870
84.376	National Science and Mathematics Access to Retain Talent (SMART) Grants		260,828
TOTAL STUDENT FINANCIAL AID			66,634,555
RESEARCH AND DEVELOPMENT			
Department of Agriculture			
10.000	Miscellaneous		369,749
	Pass-through The Nature Conservancy	SA# TNC/AKNHP_LDFIRE_2007	47,657
<u>Agricultural Research Service</u>			
10.001	Agricultural Research Basic and Applied Research		1,163,471
<u>Animal and Plant Health Inspection Service</u>			
10.025	Plant and Animal Disease, Pest Control, and Animal Care		68,494
<u>Cooperative State Research, Education, and Extension Service</u>			
10.200	Grants for Agricultural Research, Special Research Grants		3,154,199
	Pass-through Utah State University	061553007	29,939
10.202	Cooperative Forestry Research		817,566
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act		958,630
10.206	Grants for Agricultural Research - Competitive Research Grants		400,033
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants		150,773
10.500	Cooperative Extension Service		106,674
<u>Farm Service Agency</u>			
10.443	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers		
	Pass-through University of Hawaii, Hilo	PO Z738018	4,275
<u>Forest Service</u>			
10.652	Forestry Research		44,789
10.664	Cooperative Forestry Assistance		26,382
10.680	Forest Health Protection		10,821
<u>Rural Development</u>			
10.769	Rural Business Enterprise Grants		(67,500)
Total Department of Agriculture			7,285,952
Department of Commerce			
11.000	Miscellaneous		109,472

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>National Oceanic and Atmospheric Administration</u>			
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)		
	Pass-through State of Alaska	RS 1195092	\$ 12,031
11.417	Sea Grant Support		2,075,422
	Pass-through Texas A&M University at Galveston	AGREEMENT S080017 AMNDMT 1	51,321
11.419	Coastal Zone Management Administration Awards		
	Pass-through State of Alaska	RSA ADN 45-09-0805	170,648
11.42	Coastal Zone Management Estuarine Research Reserves		12,817
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program		79,403
11.430	Undersea Research		980,478
11.431	Climate and Atmospheric Research		601,550
11.432	Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes		3,097,140
11.438	Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program		
	Pass-through State of Alaska	ADN 1195964	19,186
11.439	Marine Mammal Data Program		314,367
	Pass-through North Pacific Marine Science Foundation	NA05NMF4391068	1
11.454	Unallied Management Projects		
	Pass-through State of Alaska	1105284	(1)
	Pass-through Bering Sea Fisherman's Association	AC-598	17,631
11.460	Special Oceanic and Atmospheric Projects		2,503,848
11.463	Habitat Conservation		
	Pass-through National Fish & Wildlife Foundation	2006-0093-012	48,876
	Pass-through National Fish & Wildlife Foundation	2008-0066-008	1,604
11.467	Meteorologic and Hydrologic Modernization Development		70,934
	Pass-through University Corporation for Atmospheric Research (UCAR)	S08-68871	4,349
	Pass-through University Corporation for Atmospheric Research (UCAR)\	S08-71435	9,391
11.468	Applied Meteorological Research		
	Pass-through University of Mississippi	UM 09-09-024	47,238
11.472	Unallied Science Program		
	Pass-through North Pacific Research Board	509	5,780
	Pass-through North Pacific Research Board	B51	34,527
	Pass-through North Pacific Research Board	B52	58,001
	Pass-through North Pacific Research Board	B53	97,685
	Pass-through North Pacific Research Board	B67	80,812
	Pass-through North Pacific Research Board	B67/YR2	40,270
	Pass-through North Pacific Research Board	B68	57,186
	Pass-through North Pacific Research Board	B77	14,846
	Pass-through North Pacific Research Board	B77 YEAR 2	32,696
	Pass-through North Pacific Research Board	F2631	36,996
	Pass-through North Pacific Research Board	PENDING	2,883

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
	Pass-through North Pacific Research Board	PROJ 828	\$ 955
	Pass-through North Pacific Research Board	PROJECT - 901	14,443
	Pass-through North Pacific Research Board	PROJECT # 607	57,865
	Pass-through North Pacific Research Board	PROJECT # 923	2,932
	Pass-through North Pacific Research Board	PROJECT #614	44,132
	Pass-through North Pacific Research Board	PROJECT #617	8,335
	Pass-through North Pacific Research Board	PROJECT #706	18,157
	Pass-through North Pacific Research Board	PROJECT #708	27,382
	Pass-through North Pacific Research Board	PROJECT #714	69,210
	Pass-through North Pacific Research Board	PROJECT #804	225,749
	Pass-through North Pacific Research Board	PROJECT #814	18,759
	Pass-through North Pacific Research Board	PROJECT 514	7,390
	Pass-through North Pacific Research Board	PROJECT 702	15,464
	Pass-through North Pacific Research Board	PROJECT 727	18,701
	Pass-through North Pacific Research Board	PROJECT NUMBER 821	18,165
	Pass-through North Pacific Research Board	PROJECT# 627	(2,752)
	Pass-through Woods Hole Oceanographic Institution	A100501	19,954
11.473	Coastal Services Center		
	Pass-through Alaska Ocean Observing System	H2100-11	91,181
	Pass-through Alaska Ocean Observing System	H2211-00	217,831
	Pass-through Alaska Ocean Observing System	H2221-00	254,852
	Pass-through Alaska Ocean Observing System	PROJECT NO. H2100-13: AMD 2	8,265
	Pass-through Alaska SeaLife Center	R2206-01	14,147
	Pass-through Seward Association for Advancement of Science (SAAMS)	H-2201	66,220
	Pass-through University of Maryland - UMCES	07-5-25535.3734 CA05-22	25,739
	Pass-through University of Maryland - UMCES	CA- 07-11 Z7315-1	76,647
11.481	Educational Partnership Program		
	Pass-through North Carolina Agricultural and Technical State University (NCA&T)	SUBAGREEMENT NO. 270040E	76,615
Total Department of Commerce			12,085,726
Department of Defense			
12.000	Miscellaneous		1,270,452
	Pass-through RTI International	2-340-0210766	27,553
	Pass-through University of Michigan	SUBCONTRACT #F011656	60,243
<u>Department of the Army</u>			
Office of the Chief of Engineers			
12.114	Collaborative Research and Development		
	Pass-through University of Mississippi	UM 07-11-041	52,899
<u>Department of the Navy</u>			
Office of the Chief of Naval Research			
12.300	Basic and Applied Scientific Research		1,259,109
	Pass-through Woods Hole Oceanographic Institution	A100585	89,134
	Pass-through Wayne State University	INDEX# 332520 PO426688	811
	Pass-through Florida State University	R00427 FSU#091004-520-015474	41,995
<u>U.S. Army Medical Command</u>			
12.420	Military Medical Research and Development		1,713,976
12.431	Basic Scientific Research		483,837
	Pass-through Mississippi State University	060803-360295-01	361,035

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Office of the Secretary of Defense</u>			
12.630	Basic, Applied, and Advanced Research in Science and Engineering		\$ 501,629
<u>Department of the Air Force</u>			
Material Command			
12.800	Air Force Defense Research Sciences Program		218,249
	Pass-through New Mexico State University	SUB Q01193	(4,234)
<u>Advanced Research Projects Agency</u>			
12.910	Research and Technology Development		1,887,160
Total Department of Defense			7,963,848
 Department of the Interior			
15.000	Miscellaneous		1,150,384
	Pass-through Bering Sea Fisherman's Association	AC-299	(3,313)
	Pass-through Hoonah Indian Association	MOA DATED 8-21-07	38,327
	Pass-through National Fish & Wildlife Foundation	2005-0011-036	7,886
	Pass-through Rutgers	PO#S953776 0000326714-21833	49,490
	Pass-through State of Alaska	1195128	1,242
	Pass-through State of Alaska	ADN#1078006/45-7-0805	(476)
	Pass-through State of Alaska	EN 1195139	1,529
	Pass-through State of Alaska	RSA1195126	46,133
	Pass-through University of Vermont	19758 INITIAL 2006 (21199)	22,176
	Pass-through University of Vermont	20118 INITIAL 2002	45,925
15.900	Disposal of Surplus Wildlife		347,627
<u>Bureau of Land Management</u>			
15.224	Cultural Resource Management		125,418
15.225	Recreation Resource Management		73,953
15.231	Fish, Wildlife and Plant Conservation Resource Management		2,413
<u>Minerals Management Service</u>			
15.421	Alaska Coastal Marine Institute		343,383
15.423	Minerals Management Service (MMS) Environmental Studies Program (ESP)		135,530
<u>Fish and Wildlife Service</u>			
15.608	Fish and Wildlife Management Assistance		25,891
15.630	Coastal Program		15,205
15.634	State Wildlife Grants		
	Pass-through State of Alaska	AR 42636	52,357
	Pass-through State of Alaska	RS 1195159	11,723
15.642	Challenge Cost Share		34,855
15.650	Research Grants (Generic)		21,829
15.655	Migratory Bird Monitoring, Assessment and Conservation		1,493
<u>U.S. Geological Survey</u>			
15.805	Assistance to State Water Resources Research Institutes		128,038
15.807	Earthquake Hazards Reduction Program		465,228
15.808	U.S. Geological Survey - Research and Data Collection		2,584,311
	Pass-through America View, Inc.	AV04-AK01	10
	Pass-through America View, Inc.	AVO8-AK01	12,179

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
15.811	Gap Analysis Program		\$ 97,939
15.812	Cooperative Research Units Program		1,025,341
<u>National Park Service</u>			
15.904	Historic Preservation Fund Grants-In-Aid		36,265
Total Department of the Interior			6,900,291
Department of Justice			
16.000	Miscellaneous		(2,157)
<u>Office of Juvenile Justice And Delinquency Prevention</u>			
16.540	Juvenile Justice and Delinquency Prevention Allocation to States Pass-through State of Alaska	EN 693060	18,713
<u>Bureau of Justice Statistics</u>			
16.550	State Justice Statistics Program for Statistical Analysis Centers		20,182
<u>National Institute of Justice</u>			
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants		63,503
<u>Bureau of Justice Assistance</u>			
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program Pass-through State of Alaska	EN 693059 RD 06343	54,702
Total Department of Justice			154,943
Department of Transportation			
20.701	University Transportation Centers Program		2,370,670
20.762	Research Grants Pass-through University of Hawaii	PO # Z894269	3,542
<u>Federal Aviation Administration</u>			
20.109	Air Transportation Centers of Excellence		121,482
<u>Federal Highway Administration</u>			
20.200	Highway Research and Development Program		521
Total Department of Transportation			2,496,215
Department of the Treasury			
21.000	Miscellaneous		304,752
General Services Administration			
39.009	Federal Citizen Information Center		9,601,748
National Aeronautics and Space Administration			
43.000	Miscellaneous		11,508,257
	Pass-through SETI Institute	06CA84A-08-002	24,413
	Pass-through SETI Institute	SUB NO. NNG06GH12G-06-002	206
	Pass-through University of California, Berkeley	1-0001080910	2,023
	Pass-through University of Colorado	1541927	(3,661)
	Pass-through University of Maryland	Z627201	89,875
	Pass-through University of New Hampshire	SUB 09-046	43,864
	Pass-through University of Pittsburgh	SUB 0008149	9,973
	Pass-through University of Washington	SUBCONTRACT #486547 PENDING	39,980
	Pass-through University of Washington	UW # 471380 MOD 1	8,532

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
43.001	Aerospace Education Services Program		\$ 487,257
	Pass-through The Aerospace Corporation	PO# 4600003770	19,475
	Pass-through University of Maryland	SUB Z631501	48,799
Total National Aeronautics and Space Administration			12,278,993
National Science Foundation			
47.000	Miscellaneous		78,315
47.041	Engineering Grants		273,209
47.049	Mathematical and Physical Sciences		589,966
	Pass-through Stanford University	SUBAWARD NO. 30891-A	158,940
47.050	Geosciences		2,463,956
	Pass-through Embry-Riddle Aeronautical University	SUB 1703-01 PO126669	(7,890)
	Pass-through SRI International	59-0011127 MOD#4	495,597
	Pass-through UNAVCO, Inc.	SUBAWARD EAR-0350028-11	41,030
	Pass-through University of Washington	#892069	25,063
	Pass-through Woods Hole Oceanographic Institution	A100521	11,354
47.070	Computer and Information Science and Engineering		344,979
47.074	Biological Sciences		3,171,982
	Pass-through University of Washington	592485	9,562
	Pass-through University of California, Berkeley	SA5573-11487, PO 1382500	(8,060)
47.075	Social, Behavioral, and Economic Sciences		450,570
47.076	Education and Human Resources		4,118,451
47.078	Polar Programs		12,599,464
	Pass-through Aleut International Association	AGRMT# BSSN-SA-4 / ARC-0634079	65,661
	Pass-through Arctic Research Consortium of the U.S. (ARCUS)	ARCUS CONTRACT8.26.04	38,748
	Pass-through City College of New York	40082-00-01 B	10,106
	Pass-through University of Maryland - UMCES	CA 08-09	45,271
	Pass-through University of Washington	SUBCONTRACT 573685	21,330
	Pass-through Woods Hole Oceanographic Institution	PO #S115043	19,323
47.079	International Science and Engineering (OISE)		342,047
47.082	ARRA - Trans-NSF Recovery Act Research Support		
	Pass-through University of Colorado	SPO # 0000069542	601
Total National Science Foundation			25,359,575
Environmental Protection Agency			
66.000	Miscellaneous		
	Pass-through Kenai Watershed Forum	KWF-UAF-001	66,240
	Pass-through Municipality of Anchorage	PO# 281122	97,150
	Pass-through State of Alaska	RS 1890005 EN 1890502	89,166
66.606	Surveys, Studies, Investigations, and Special Purpose Grants		
	Pass-through Fairbanks North Star Borough	PO# 600663	24,835
<u>Office of Water</u>			
66.419	Water Pollution Control State, Interstate, and Tribal Program Support		
	Pass-through State of Alaska	RS 1880025 EN 1880505 AMEND 3	117,955
<u>Office of Research and Development</u>			
66.511	Office of Research and Development Consolidated Research / Training / Fellowships		
	Pass-through State of Alaska	1860059	(261)

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Office of the Administrator</u>			
66.605	Performance Partnership Grants		
	Pass-through State of Alaska	RS 1890004 EN 1890501	\$ 39,139
	Pass-through State of Alaska	RS# 1880065 EN# 1880513	6,563
	Pass-through State of Alaska	RSA EN 1880506/ADN1880026	(450)
Total Environmental Protection Agency			440,337
Department of Energy			
81.000	Miscellaneous		1,259,258
	Pass-through Battelle Pacific Northwest Lab	17560	262
	Pass-through Battelle Pacific Northwest Lab	NO. 9064	21,481
81.049	Office of Science Financial Assistance Program		25,694
81.089	Fossil Energy Research and Development		536,477
	Pass-through Sandia National Laboratories	643168	66,801
	Pass-through Sandia National Laboratories	746154	38,057
81.113	Defense Nuclear Nonproliferation Research		
	Pass-through University of Mississippi	UM03-02-074	175,007
Total Department of Energy			2,123,037
Department of Education			
84.000	Miscellaneous		
	Pass-through Anchorage School District	PR/PO 9P11071 AMEND 1	13,529
	Pass-through Anchorage School District	PR/PO 9P13709 AMEND 1	9,634
	Pass-through Alaska Humanities Forum	AGRMNT DTD 6/06 AMEND 05/09	38,818
<u>Office of Elementary and Secondary Education</u>			
84.330	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants)		
	Pass-through Anchorage School District	AGMT DATED 1/20/09	18,915
84.356	Alaska Native Educational Programs		
	Pass-through Association of Alaska School Boards	AMENDED PO # 100-ISER-06	3,764
	Pass-through Alaska Pacific University	PROF SVCS AGMT DTD 4/21/08	4,162
	Pass-through Copper River Native Association	SUBAWARD DTD 4/9/05 AMEND #4	18,274
Total Department of Education			107,096
Denali Commission			
90.100	Denali Commission Program		(7)
U.S. Election Assistance Commission			
90.401	Help America Vote Act Requirements Payments		
	Pass-through State of Alaska	EN0187006 / RS0180021 PHASE 2	86
	Pass-through State of Alaska	EN194035	52,242
	Pass-through State of Alaska	RS 0180032 EN 0187012 AMEND 2	48,915
Total U.S. Election Assistance Commission			101,243
Department of Health and Human Services			
93.000	Miscellaneous		64,411
	Pass-through UCLA School of Public Health	1935 S JB147 / PENDING	685,572
	Pass-through Mount Sinai School of Medicine	PENDING	60,209
	Pass-through UCLA School of Public Health	PENDING	93,042
93.848	Digestive Diseases and Nutrition Research		485,647

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Administration on Aging</u>			
93.048	Special Programs for the Aging - Title IV - and Title II - Discretionary Projects		\$ 265,655
<u>Health Resources and Services Administration</u>			
93.110	Maternal and Child Health Federal Consolidated Programs Pass-through University of Arizona Tucson	PO Y432109 MOD 4	10,397
<u>Agency for Healthcare Research & Quality</u>			
93.226	Research on Healthcare Costs, Quality and Outcomes		24,196
<u>Substance Abuse and Mental Health Services Administration</u>			
93.230	Consolidated Knowledge Development and Application (KD&A) Program Pass-through State of Alaska	EN# 0623029	(96)
93.243	Substance Abuse and Mental Health Services - Projects of Regional and National Significance Pass-through State of Alaska	EN 693087	25,715
<u>Health Resources and Services Administration</u>			
93.234	Traumatic Brain Injury State Demonstration Grant Program Pass-through State of Alaska	EN693074 RD06312	7,334
93.300	National Center for Health Workforce Analysis		27,517
93.888	Specially Selected Health Projects		3,651
93.912	Rural Health Care Services Outreach and Rural Health Network Development Program Pass-through Southeast Alaska Regional Health Consortium	AGREEMENT #78399	113,562
	Pass-through Southeast Alaska Regional Health Consortium	PO 74623	(19)
	Pass-through Southeast Alaska Regional Health Consortium	PO 76643 AMENDMENT 1	(45)
<u>National Institutes of Health</u>			
93.242	Mental Health Research Grants		1,108,690
93.273	Alcohol Research Programs		136,302
93.279	Drug Abuse and Addiction Research Programs Pass-through Pacific Institute for Research and Evaluation, Louisville Center	0057.01.01-1R01DA019640 MOD 1	597,740 (84)
93.307	Minority Health and Health Disparities Research		586,033
93.389	National Center for Research Resources Pass-through University of Washington	597677	5,542,705 9,617
93.701	ARRA - Trans-NIH Recovery Act Research Support		4,639
93.837	Cardiovascular Diseases Research		202,622
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research		35,499
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders		185,782
<u>Centers For Medicare And Medicaid Services</u>			
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations Pass-through State of Alaska	EN 693037	10,016
Total Department of Health and Human Services			<u>10,286,309</u>

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Homeland Security			
97.061	Centers for Homeland Security Pass-through University of Hawaii	Z884255	\$ 292,298
Agency for International Development			
98.001	USAID Foreign Assistance for Programs Overseas Pass-through University of Maryland, College Park	280/000-08-1146	11,913
TOTAL RESEARCH AND DEVELOPMENT			97,794,269
OTHER PROGRAMS			
Department of Agriculture			
10.000	Miscellaneous		269,025
<u>Cooperative State Research, Education, and Extension Service</u>			
10.200	Grants for Agricultural Research, Special Research Grants		147,015
10.206	Grants for Agricultural Research - Competitive Research Grants		231,646
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants		1,835,424
10.303	Integrated Programs		
	Pass-through University of California, Davis	07-001492-UAK	24,714
	Pass-through University of California, Davis	K009607-AK AMENDMENT 5	11,762
	Pass-through University of Idaho	BJKE27-UA (PO013815)	90,992
	Pass-through University of Idaho	BJKH15 SB005	1,060
10.304	Homeland Security - Agricultural Pass-through University of California, Davis	07-002558-12	23,658
10.500	Cooperative Extension Service		1,961,172
	Pass-through Kansas State University	S08023	75,586
	Pass-through Kansas State University	S08107 MOD 2	29,358
	Pass-through Kansas State University	S09161	9,278
	Pass-through University of Wyoming	UTSTUNV46460AK	7,003
	Pass-through University of Wyoming	UTSTUNV46465AK	10,698
	Pass-through University of Wyoming	UTSUNV46467AK	570
	Pass-through Washington State University	104777-G00189_0	198,099
	Pass-through Washington State University	G002219	14,333
<u>Farm Service Agency</u>			
10.443	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers		33,746
<u>Risk Management Agency</u>			
10.455	Community Outreach and Assistance Partnership Program		14,940
<u>Food and Nutrition Service</u>			
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children		
	Pass-through Oklahoma State Department of Health	P.O. #3409010318 REV 2	13,920
	Pass-through State of Alaska	EN 0683049	5
	Pass-through State of Alaska	EN 683073	44,067
	Pass-through State of Alaska	EN 693088	25,311
	Pass-through State of Alaska	EN693041/693075/693077/693078	159,364
	Pass-through State of Alaska	RSA EN 643232	(239)

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
10.578	WIC Grants to States (WGS) Pass-through State of Hawaii - Department of Health, Honolulu	ASO LOG NO. 08-092	\$ 13,366
<u>Forest Service</u>			
10.652	Forestry Research		77,128
10.664	Cooperative Forestry Assistance		11,130
<u>Rural Development</u>			
10.760	Water and Waste Disposal Systems for Rural Communities		9,741
10.769	Rural Business Enterprise Grants		63,973
Total Department of Agriculture			5,407,845
Department of Commerce			
11.000	Miscellaneous Pass-through Consortium for Oceanographic Research & Education	LETTER 09/17/08	13,695
<u>Economic Development Administration</u>			
11.303	Economic Development - Technical Assistance		110,073
<u>National Oceanic and Atmospheric Administration</u>			
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)		12,653
11.407	Interjurisdictional Fisheries Act of 1986		17,602
11.417	Sea Grant Support		351,213
11.419	Coastal Zone Management Administration Awards Pass-through State of Alaska	EN 1091500	2,411
11.438	Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program Pass-through State of Alaska	EN 1185968	97,832
11.439	Marine Mammal Data Program		12,749
11.472	Unallied Science Program Pass-through North Pacific Research Board	503	38,133
	Pass-through North Pacific Research Board	NPRB AGREEMENT 826	33,115
	Pass-through North Pacific Research Board	PROJECT #615	24,798
11.473	Coastal Services Center Pass-through Alaska Ocean Observing System	H2100-12	26,519
11.481	Educational Partnership Program		164,896
Total Department of Commerce			955,892
Department of Defense			
<u>Defense Logistics Agency</u>			
12.002	Procurement Technical Assistance For Business Firms		307,339
<u>U.S. Army Material Command</u>			
12.431	Basic Scientific Research Pass-through Academy of Applied Science (AAS)	SBGRNT; 08-48,49,50 DATE 8.7.8	12,156
<u>Department of the Air Force</u>			
Office of Material Command			
12.800	Air Force Defense Research Sciences Program		19,468
Total Department of Defense			338,963

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Housing and Urban Development			
14.000	Miscellaneous Pass-through State of Alaska	ADN# 45-9-104/107 AHFC 09-001	\$ 20,065
<u>Office of Policy Development and Research</u>			
14.515	Alaska Native / Native Hawaiian Institutions Assisting Communities		1,758,549
<u>Office of Healthy Homes and Lead Hazard Control</u>			
14.901	Healthy Homes Demonstration Grants Pass-through Auburn University	08-HHP-374648-0001	5,500
Total Department of Housing and Urban Development			1,784,114
Department of the Interior			
15.000	Miscellaneous		322,075
15.900	Disposal of Surplus Wildlife		3,548
<u>Bureau of Indian Affairs</u>			
15.114	Indian Education - Higher Education Grant Program		21,448
<u>Bureau of Land Management</u>			
15.224	Cultural Resource Management		1,368,345
<u>Fish and Wildlife Service</u>			
15.608	Fish and Wildlife Management Assistance Pass-through National Fish & Wildlife Foundation	2007-0140-000	1,318 130,726
15.630	Coastal Program		274
<u>U.S. Geological Survey</u>			
15.808	U.S. Geological Survey - Research and Data Collection		14,163
Total Department of the Interior			1,861,897
Department of Justice			
16.000	Miscellaneous		10,045
<u>Office on Violence Against Women</u>			
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities Pass-through State of Alaska	EN 693070 RD 6312	125,608
<u>Office of Juvenile Justice and Delinquency Prevention</u>			
16.541	Part E - Developing, Testing and Demonstrating Promising New Programs Pass-through Bristol Bay Area Health Corporation	RSA DATED 9/1/06	1,793
Total Department of Justice			137,446
Department of Labor			
<u>Employment Training Administration</u>			
17.261	WIA Pilots, Demonstrations, and Research Projects		254,070
17.266	Work Incentive Grants Pass-through State of Alaska	EN 795079	50,529
17.268	H-1B Job Training Grants		192,875
17.269	Community Based Job Training Grants		268,698
<u>Mine Safety and Health Administration</u>			
17.600	Mine Health and Safety Grants		26,243
<u>Office of Disability Employment Policy</u>			
17.720	Disability Employment Policy Development Pass-through State of Alaska	ADN 0680508	6,180

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
	Pass-through State of Alaska	EN 0683068	\$ 17,753
	Pass-through State of Alaska	EN 693072 RD6312	285,316
Total Department of Labor			1,101,664
Department of State			
19.000	Miscellaneous		204,059
Department of Transportation			
<u>Federal Aviation Administration</u>			
20.109	Air Transportation Centers of Excellence		
	Pass-through Embry-Riddle Aeronautical University	#61077-UAA PO#133596	84,426
	Pass-through Embry-Riddle Aeronautical University	61069-UAADTFAC-02-D-00037#12	115,556
<u>Federal Highway Administration</u>			
20.215	Highway Training and Education		253,956
Total Department of Transportation			453,938
Office of Personnel Management			
27.011	Intergovernmental Personnel Act (IPA) Mobility Program		127,358
National Aeronautics and Space Administration			
43.000	Miscellaneous		143
	Pass-through George Mason University	SUB 200707-E	12,134
43.001	Aerospace Education Services Program		17,092
Total National Aeronautics and Space Administration			29,369
National Endowment for the Arts			
45.025	Promotion of the Arts - Partnership Agreements		
	Pass-through State of Alaska	FY08 CAD0055	1,000
	Pass-through State of Alaska	FY09G0023	8,098
	Pass-through State of Alaska	FY09PG0015	2,000
	Pass-through State of Alaska	GRANT #09-0003	9,000
Total National Endowment for the Arts			20,098
National Endowment for the Humanities			
45.129	Promotion of the Humanities - Federal / State Partnership		
	Pass-through Alaska Humanities Forum	G08-0044	102
45.149	Promotion of the Humanities - Division of Preservation and Access		91,152
45.169	Promotion of the Humanities - Office of Digital Humanities		23,413
Total National Endowment for the Humanities			114,667
Institute of Museum and Library Services			
45.310	Grants to States		
	Pass-through State of Alaska	ADN 0590655 ILC-09-156-106	6,750
	Pass-through State of Alaska	AGREEMENT JAN. 22, 2009	5,694
	Pass-through State of Alaska	COOPERATIVE AGREEMENT	(257)
	Pass-through State of Alaska	EN 0590766	113,594
	Pass-through State of Alaska	EN0590797	13,202

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
	Pass-through State of Alaska	LETTER DATED 9/08/08	\$ 89,020
	Pass-through State of Alaska	RSA 0590656	31,025
Total Institute of Museum and Library Services			259,028
National Science Foundation			
47.000	Miscellaneous		24,387
47.041	Engineering Grants		98,765
47.050	Geosciences		3,397,120
	Pass-through WGBH Educational Foundation	2008000779A	30,348
47.070	Computer and Information Science and Engineering		45,521
	Pass-through Carnegie Mellon University	1120822-184882	40,330
47.074	Biological Sciences		276,972
47.076	Education and Human Resources		2,157,133
47.078	Polar Programs		511,746
	Pass-through Barrow Arctic Science Consortium	PENDING	(38,528)
47.079	International Science and Engineering (OISE)		28,627
47.081	Office of Experimental Program to Stimulate Competitive Research		9,489
Total National Science Foundation			6,581,910
Small Business Administration			
59.009	Procurement Assistance to Small Businesses		73,479
59.037	Small Business Development Centers		578,612
Total Small Business Administration			652,091
Environmental Protection Agency			
66.000	Miscellaneous		40,558
66.606	Surveys, Studies, Investigations, and Special Purpose Grants		114,757
<u>Office of the Chief Financial Officer</u>			
66.202	Congressionally Mandated Projects		307,355
	Pass-through State of Alaska	Program Income G3664	7,481
<u>Office of Research and Development</u>			
66.514	Science To Achieve Results (STAR) Fellowship Program		268
Total Environmental Protection Agency			470,419
Department of Energy			
<u>Miscellaneous</u>			
81.000	Miscellaneous		
	Pass-through Washington State University	109081 G002315	29,001
	Pass-through Inland Northwest Research Alliance	60-4005-102	15
81.089	Fossil Energy Research and Development		178,077
Total Department of Energy			207,093
Department of Education			
84.000	Miscellaneous		
	Pass-through National Writing Project Corporation	92-AK01	101,463
84.303	Challenge Grants for Technology in Education		
	Pass-through Chugach School District	R303A980236	(115)
84.342	Preparing Tomorrow's Teachers to Use Technology		(146)

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Office of Vocational and Adult Education</u>			
84.002	Adult Education - Basic Grants to States		
	Pass-through State of Alaska	AD#4570714	\$ (29)
	Pass-through State of Alaska	ADN# 770016	(13)
	Pass-through State of Alaska	EN 795600	25,389
	Pass-through State of Alaska	EN 795603	30,701
	Pass-through State of Alaska	EN 795601	52,880
84.048	Career and Technical Education - Basic Grants to States		
	Pass-through State of Alaska	0590665	60,227
	Pass-through State of Alaska	ADN #0590650	73,043
	Pass-through State of Alaska	ADN #580522	(4,787)
	Pass-through State of Alaska	ADN 0580592	16,812
	Pass-through State of Alaska	ADN# 0560737	(3,509)
	Pass-through State of Alaska	EL 09.163.01/EL09.163.02	118,750
	Pass-through State of Alaska	EN 0590651	92,995
	Pass-through State of Alaska	EN 0590653	62,862
84.243	Tech-Prep Education		
	Pass-through State of Alaska	EN 0590647	243,200
	Pass-through State of Alaska	RSA EN# 0580593 AMMEND #1	125
<u>Office of Postsecondary Education</u>			
84.031	Higher Education - Institutional Aid		4,855,050
84.116	Fund for the Improvement of Postsecondary Education		369,010
84.333	Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities		224,649
<u>Office of Special Education and Rehabilitative Services</u>			
84.181	Special Education - Grants for Infants and Families		
	Pass-through State of Alaska	EN 693061	51,598
	Pass-through State of Alaska	EN 693081	64,021
84.323	Special Education - State Personnel Development		
	Pass-through State of Alaska	ADN #0590591	312,461
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities		358,639
<u>Office of Innovation and Improvement</u>			
84.215	Fund for the Improvement of Education		116,276
84.336	Teacher Quality Partnership Grants		1,610,270
<u>Office of Elementary and Secondary Education</u>			
84.299	Indian Education - Special Programs for Indian Children		317,931
84.350	Transition to Teaching		(803)
84.356	Alaska Native Educational Programs		3,894,860
	Pass-through Doyon Foundation	MOA 11-30-06	7,055
	Pass-through Project GRAD Kenai Peninsula	MOA DATED 3/27/2006	4,326
84.363	School Leadership		
	Pass-through Alaska Staff Development Network (ASDN)	409-RAPPS	30,290
84.366	Mathematics and Science Partnerships		
	Pass-through State of Alaska	0590652	709,275
	Pass-through State of Alaska	RSA 0580617	9,911

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
84.367	Improving Teacher Quality State Grants Pass-through State of Alaska	HE 09.159.02	\$ 253,128
<u>Office of Educational Research and Improvement</u>			
84.305	Education Research, Development and Dissemination		414,218
Total Department of Education			14,472,013
Denali Commission			
90.100	Denali Commission Program		742,411
	Pass-through Alaska Public Broadcasting, Inc.	LETTER 9/15/06 EMAIL 10/01/08	42,660
	Pass-through Alaska Public Broadcasting, Inc.	LETTER AGREEMENT #174-05	20,287
	Pass-through State of Alaska	ADN 780101 EN786121	(684)
Total Denali Commission			804,674
Department of Health and Human Services			
93.000	Miscellaneous		5,014
<u>Administration on Aging</u>			
93.043	Special Programs for the Aging - Title III, Part D - Disease Prevention and Health Promotion Services Pass-through State of Alaska	EN 693076	31,177
<u>Health Resources and Services Administration</u>			
93.110	Maternal and Child Health Federal Consolidated Programs		12,705
	Pass-through Children's Hospital Los Angeles	AGREEMENT 228A1	45,082
	Pass-through State of Alaska	EN 693051	6,500
	Pass-through State of Alaska	EN 693085	45,522
93.178	Nursing Workforce Diversity		260,543
93.358	Advanced Education Nursing Traineeships		50,721
93.359	Nurse Education, Practice and Retention Grants Pass-through University of Washington	563270	4,016
93.822	Health Careers Opportunity Program		(1,101)
93.824	Basic / Core Area Health Education Centers		630,758
93.888	Specially Selected Health Projects		360,478
93.969	Geriatric Education Centers		466,515
<u>National Institutes of Health</u>			
93.279	Drug Abuse and Addiction Research Programs Pass-through Terra Nova Learning Systems	SUB - NIDA 1R44DA022907-01	1,083
93.389	National Center for Research Resources		114,262
<u>Centers for Disease Control and Prevention</u>			
93.283	Centers for Disease Control and Prevention - Investigations and Technical Assistance		185,622
	Pass-through State of Alaska	EN 693058 RD 6345	8,977
<u>Administration for Children and Families</u>			
93.558	Temporary Assistance for Needy Families Pass-through State of Alaska	0683069	50,806
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service		519,508
93.648	Child Welfare Services Training Grants		17,890
93.658	Foster Care - Title IV-E Pass-through State of Alaska	EN 693065	744,312
	Pass-through State of Alaska	EN 0683053 AMDMT 1	1,244

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Centers for Medicare and Medicaid Services</u>			
93.768	Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities		
	Pass-through State of Alaska	EN 0683064	\$ (128)
	Pass-through State of Alaska	EN 693073 RD6312	104,335
	Pass-through State of Alaska	EN693096	88,438
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations		
	Pass-through State of Alaska	EN 693082	87,001
<u>Substance Abuse and Mental Health Services Administration</u>			
93.959	Block Grants for Prevention and Treatment of Substance Abuse		
	Pass-through State of Alaska	602-09-009	63,356
Total Department of Health and Human Services			3,904,636
Corporation for National and Community Service			
94.005	Learn and Serve America - Higher Education		
	Pass-through Princeton University	SUB # 00001316	12,641
	Pass-through Community College National Center for Community Engagement	06LHHAZ001	14,176
94.013	Volunteers in Service to America		1,454
	Pass-through Rural Alaska Community Action Program	06VSPAK011-08	2,589
Total Corporation for National and Community Service			30,860
Social Security Administration			
96.008	Social Security - Work Incentives Planning and Assistance Program		76,325
Department of Homeland Security			
97.044	Assistance to Firefighters Grant		360,000
Agency for International Development			
98.012	USAID Development Partnerships for University Cooperation and Development		
	Pass-through International Research Exchange Board (IREX)	FY08-AR03-U-ALASKA-01-MOD 1	65,591
TOTAL OTHER PROGRAMS			40,421,950
PUBLIC WORKS AND ECONOMIC DEVELOPMENT			
<u>Department of Commerce</u>			
	Economic Development Administration		
11.307	Economic Adjustment Assistance		538,236
WORKFORCE INVESTMENT ACT (WIA)			
<u>Department of Labor</u>			
	Employment Training Administration		
17.259	WIA Youth Activities		
	Pass-through State of Alaska	EN 796111	102,541

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
17.260	WIA Dislocated Workers		\$ (5,643)
	Pass-through State of Alaska	ADN 7901121 45-09-0836	39,806
	Pass-through State of Alaska	ADN 790153 EN 796181	47,136
	Pass-through State of Alaska	EN 786145	1,223
	Pass-through State of Alaska	EN 796100	238,360
TOTAL WORKFORCE INVESTMENT ACT (WIA)			<u>423,423</u>
HIGHWAY PLANNING AND CONSTRUCTION			
<u>Department of Transportation</u>			
Federal Highway Administration			
20.205	Highway Planning and Construction		
	Pass-through State of Alaska	EN.2573008	<u>42,365</u>
SPECIAL EDUCATION			
<u>Department of Education</u>			
Office of Special Education and Rehabilitative Services			
84.027	Special Education - Grants to States		
	Pass-through State of Alaska	EN 0580526	1,211
	Pass-through State of Alaska	EN 0590562	94,840
	Pass-through State of Alaska	EN 0590563	15,000
TOTAL SPECIAL EDUCATION			<u>111,051</u>
TRIO			
<u>Department of Education</u>			
Office of Postsecondary Education			
84.042	TRIO - Student Support Services		622,517
84.044	TRIO - Talent Search		591,482
84.047	TRIO - Upward Bound		1,302,738
84.066	TRIO - Educational Opportunity Centers		609,852
TOTAL TRIO			<u>3,126,589</u>
MEDICAID			
<u>Department of Health and Human Services</u>			
Centers for Medicare and Medicaid Services			
93.778	Medical Assistance Program		
	Pass-through State of Alaska	EN 0693093	<u>188,779</u>
GRAND TOTAL			<u>\$ 209,281,217</u>

UNIVERSITY OF ALASKA
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2009

(1) General

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal financial assistance programs of the University of Alaska. The University of Alaska is a constitutionally created corporation and a component unit of the State of Alaska. Federal financial assistance received directly from federal agencies as well as federal financial assistance passed through other government agencies is included on the schedule.

(2) Basis of Accounting

The accompanying Schedule of Expenditures of Federal Awards is presented using the economic resources measurement focus and accrual basis of accounting, which is described in note 1 to the University of Alaska's financial statements.

(3) Noncash Federal Awards

The University receives Federal awards under the Perkins Loan Program (CFDA #84.638). The outstanding loan balances under this program totaled (\$2,327) as of June 30, 2009.

(4) Subrecipients

The University of Alaska passed through \$5,511,857 of federal awards to subrecipients during the year ended June 30, 2009. Expenditures incurred by the subrecipients are listed below by federal program. These amounts are included in the Schedule of Expenditures of Federal Awards:

<i>Federal Agency and Program</i>	<i>CFDA#</i>	<i>Expenditures</i>
Department of Agriculture		
Agricultural Research Basic and Applied Research	10.001	\$ 548,271
Grants for Agricultural Research, Special Research Grants	10.200	177,424
Alaska Native Serving and Native Hawaiian Serving Institutions		
Education Grants	10.228	22,663
Cooperative Extension Service	10.500	23,351
		<u>771,709</u>
Department of Commerce		
Sea Grant Support	11.417	128,526
Undersea Research	11.430	398,742
Cooperative Institutes	11.432	119,961
Coastal Services Center	11.473	3,224
		<u>650,453</u>
Department of Defense		
Basic and Applied Scientific Research	12.300	493,483
Military Medical Research and Development	12.420	41,442
Basic Scientific Research	12.431	104,367
Basic, Applied, and Advanced Research in Science and Engineering	12.630	5,788
		<u>645,080</u>
Department of Housing and Urban Development		
Alaska Native / Native Hawaiian Institutions Assisting Communities	14.515	352,634
Department of the Interior		
Miscellaneous	15.000	17,646
Assistance to State Water Resources Research Institutes	15.805	12,895
		<u>30,541</u>
Department of Labor		
Community Based Job Training Grants	17.269	98,633
Disability Employment Policy Development	17.720	42,768
		<u>141,401</u>

UNIVERSITY OF ALASKA
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2009

<i>Federal Agency and Program</i>	<i>CFDA#</i>	<i>Expenditures</i>
Department of Transportation		
University Transportation Centers Program	20.701	\$ 88,002
General Services Administration		
Federal Citizen Information Center	39.009	224,835
National Aeronautics and Space Administration		
Miscellaneous	43.000	50,787
National Science Foundation		
Miscellaneous	47.000	4,577
Engineering Grants	47.041	83,211
Geosciences	47.050	59,893
Biological Sciences	47.074	162,191
Education and Human Resources	47.076	91,373
Polar Programs	47.078	249,590
		<u>650,835</u>
Environmental Protection Agency		
Miscellaneous	66.000	9,000
Department of Energy		
Miscellaneous	81.000	225,022
Fossil Energy Research and Development	81.089	29,954
		<u>254,976</u>
Department of Education		
Miscellaneous	84.000	12,360
TRIO - Upward Bound	84.047	71,543
Career and Technical Education - Basic Grants to States	84.048	34,300
Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	84.325	27,097
Teacher Quality Enhancement Grants	84.336	283,739
Alaska Native Educational Programs	84.356	251,417
Mathematics and Science Partnerships	84.366	41,873
		<u>722,329</u>
Denali Commission		
Denali Commission Program	90.100	1,900
Department of Health and Human Services		
Maternal and Child Health Federal Consolidated Programs	93.110	11,395
Mental Health Research Grants	93.242	170,655
Minority Health and Health Disparities Research	93.307	49,370
Medical Assistance Program	93.778	5,400
Basic / Core Area Health Education Centers	93.824	559,000
Digestive Diseases and Nutrition Research	93.848	79,984
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	41,571
		<u>917,375</u>
Total Federal Expenditures - Subrecipients		<u>\$ 5,511,857</u>

This page intentionally left blank.

UNIVERSITY OF ALASKA

Schedule of Findings and Questioned Costs

June 30, 2009

(1) Summary of Auditors' Results

Financial Statements:

Type of auditor's report issued

Unqualified

Internal control over financial reporting:

Material weakness(es) identified?

_____ yes X no

Significant deficiency(ies) identified that are not considered to be material weaknesses?

_____ yes X none reported

Noncompliance material to financial statements noted?

_____ yes X no

Federal Awards:

Internal control over major programs:

Material weakness(es) identified?

_____ yes X no

Significant deficiency(ies) identified that are not considered to be material weaknesses?

_____ yes X no

Type of auditor's report issued on compliance for major programs

Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of OMB Circular A-133?

_____ yes X no

Identification of major programs:

CFDA Numbers

Name of Federal Program or Cluster

Various

Student Financial Aid Cluster

Various

Research and Development Cluster

47.050

National Science Foundation,
Geosciences

Dollar threshold used to distinguish between type A and type B programs:

\$ 3,000,000

Auditee qualified as low-risk auditee?

 X yes _____ no

(2) Findings Relating to General Purpose Financial Statements Reported in Accordance with Government Auditing Standards

None.

(3) Findings and Questioned Costs Related to Federal Awards

None.

This page intentionally left blank.



KPMG LLP
Suite 600
701 West Eighth Avenue
Anchorage, AK 99501

**Independent Auditors' Report on Internal Control over Financial Reporting
and on Compliance and Other Matters Based on an Audit of Financial Statements
Performed in Accordance with *Government Auditing Standards***

The Board of Regents
The University of Alaska:

We have audited the financial statements of the University of Alaska and its discretely presented component unit as of and for the year ended June 30, 2009 and have issued our report thereon dated November 4, 2009. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the University of Alaska's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing an opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University of Alaska's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University of Alaska's internal control over financial reporting.

A deficiency in internal control over financial reporting exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control over financial reporting, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University of Alaska's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This page intentionally left blank.



This report is intended for the information of the Board of Regents, management, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

November 4, 2009